

Nonmonotonic Wavelength-Dependent Power Scaling in Silicon-on-Insulator Waveguides via Nonlinear Optical Effect Conglomeration

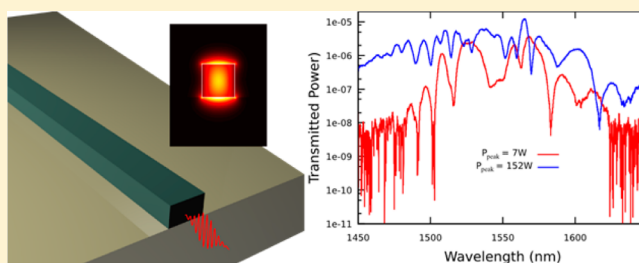
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Supporting Information

ABSTRACT: We experimentally investigate self-phase modulation (SPM) in silicon-on-insulator waveguides and present insight into the interplay between SPM and other nonlinear optical effects. Nonlinear interactions are studied in waveguides with cross-sectional widths in the range $260 \text{ nm} \leq w \leq 4150 \text{ nm}$, allowing for detailed study of the influence of mode confinement on SPM. It is found that both the mode confinement and peak intensity in the silicon waveguide are key in defining the nonlinear interaction. Power-scaling trends in different spectral ranges are presented, demonstrating the potential for multiple wavelength nonlinear functionalities in a single device.

KEYWORDS: waveguide, nonlinear optics, integrated photonics, ultrafast optics



High-confinement silicon-on-insulator (SOI) waveguides offer attractive features that enable strong $\chi^{(3)}$ nonlinear interactions to accumulate when telecommunications band ($1260 \text{ nm} \leq \lambda \leq 1675 \text{ nm}$) femtosecond pulses propagate through them.¹ For example, low linear losses in silicon (Si) at telecommunication wavelengths enable long nonlinear interaction lengths that are limited mainly by nonlinear losses (two-photon absorption (TPA) and free-carrier absorption (FCA)) and waveguide dispersion. Furthermore, Si has a high nonlinear coefficient² ($\chi^{(3)} = 2.97 \times 10^{15} \text{ cm}^2/\text{W}$), permitting strong nonlinear interactions to accumulate over much shorter lengths than other common waveguides.³ Such nonlinear interactions can be tailored to achieve all-optical modulation, which can be based on either nonlinear loss^{4–8} or frequency mixing.^{9–18} For femtosecond $\lambda = 1550 \text{ nm}$ pulses propagating through SOI waveguides, self-phase modulation (SPM) produces pulse broadening.^{5,6,19–24}

SPM in waveguides develops at sufficiently high laser intensity and mode confinement, where the optical Kerr effect creates an intensity-dependent refractive index. As a pulse propagates through a waveguide, it induces a time-varying mode index, modifying the electric field phase. This time-dependent phase appears as an instantaneous frequency of the pulse. The temporal front of the pulse experiences an increasing refractive index, yielding a red-shift, whereas the temporal back perceives a decreasing refractive index, producing a blue-shift. TPA-excited free carriers decrease the refractive index due to plasma dispersion, leading to spectral blue-shifting,²¹ and impart additional absorption via FCA. Therefore, pulse broadening in SOI waveguides is generally not spectrally symmetric.

The extent to which a pulse broadens depends on its intensity, I , and the waveguide mode confinement, which are quantified by the nonlinear parameter²⁵

$$\gamma = \frac{2\pi}{\lambda} \frac{\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} n_2(x, y) I^2(x, y) dx dy}{\left(\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} I(x, y) dx dy \right)^2}$$

where n_2 is the nonlinear refractive index. Nonlinear losses attenuate the pulse as it traverses the waveguide, leading to SPM saturation. The extent of SPM saturation is expressed by the nonlinear figure of merit:²⁶

$$\text{FOM} = \frac{n_2}{\beta_{\text{TPA}} \lambda}$$

where β_{TPA} is the TPA coefficient. For Si at $\lambda = 1550 \text{ nm}$, typical values² are $\beta_{\text{TPA}} = 0.69 \text{ cm/GW}$ and $n_2 = 3.2 \times 10^{-14} \text{ cm}^2/\text{W}$, producing $\text{FOM} = 0.30$.

Another critical consideration for SPM is chromatic dispersion.^{27,28} In the case of femtosecond pulse propagation and supercontinuum generation, the nonlinear interactions and SPM-induced broadening depend on whether normal or anomalous dispersion takes place. In general, dispersion is detrimental to pulse broadening, particularly in centimeter-length waveguides. To minimize dispersion in our experiments, we consider short waveguides with $L = 610 \mu\text{m}$. Therefore, spectral pulse evolution arises predominantly from nonlinear interactions rather than linear dispersion.

Previous investigations into pulse broadening in SOI waveguides have studied the input power dependence,^{5,6,19–22}

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the influence of laser wavelength,²¹ and applying SPM to nonlinear circuitry.⁶ However, there have been no experimental investigations into the waveguide geometry dependence or, equivalently, the mode-profile dependence of SPM in SOI waveguides. Furthermore, there is little understanding of the interplay between mode confinement, SPM, TPA, FCA, and plasma dispersion and how these effects collectively determine power scaling of various pulse frequencies.

Here, we investigate SPM-induced spectral broadening of $\lambda = 1550$ nm femtosecond pulses in SOI waveguides with cross-sectional dimensions spanning a factor of 16. We discover unique wavelength-specific power-scaling trends that result from an exchange between SPM, TPA, FCA, and plasma dispersion. These interactions allow for wavelength-specific ultrafast nonlinear functionalities in a single SOI waveguide. Such investigations are crucial for designing ultrafast nonlinear integrated photonic devices for telecommunication wavelengths.

Figure 1a depicts the waveguide geometry and dimensions, and a top-view scanning electron micrograph (SEM) of an

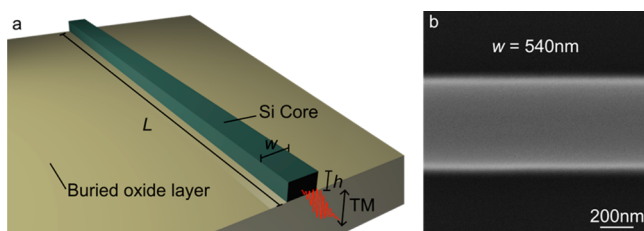


Figure 1. (a) SOI waveguide schematic. (b) Top-view SEM of $w = 540$ nm waveguide.

exemplary waveguide with length $L = 610 \mu\text{m}$, width $w = 450$ nm, and height $h = 340$ nm is shown in Figure 1b. Figure 2 displays the quasi-TM mode profile intensity distributions for waveguides with $h = 340$ nm (fixed) and widths $w = 260, 340, 470, 540, 760,$ and 1000 nm. The maximum intensity, I_{max} , in each Si waveguide for peak input power, $P_{\text{peak}} = 75$ W, is listed in the subfigures. As w decreases, I_{max} increases due to decreasing effective waveguide mode area, A_{eff} . For the

waveguides with $w = 260$ nm and $w = 340$ nm, a large fraction (54.3% for $w = 260$ nm, 43.8% for $w = 340$ nm) of the mode energy propagates outside the Si cores. However, I_{max} values within the Si cores are approximately equal (within 4%). Regardless, these modes have very distinct SPM-induced spectral broadening, indicating that the waveguide mode profile plays a key role in the SPM interaction rather than the peak intensity alone.

To examine this premise, we first study the effective refractive index, n_{eff} , as a function of w , for the quasi-TM mode in waveguides with $h = 340$ nm. As illustrated in Figure 3a, for waveguides with $w > 1 \mu\text{m}$, n_{eff} is relatively constant (~ 2.72). For waveguides with $w < 1 \mu\text{m}$, n_{eff} decreases with decreasing w , and for $w = 260$ nm, an effective refractive index of $n_{\text{eff}} = 1.99$ is calculated. The fraction of power propagating inside the Si waveguide core is shown as a function of w in Figure 3b, along with the nonlinear parameter, γ , which is similar to previous trends.²⁹ For waveguides with $w > 400$ nm, ~ 59 –68% of the $\lambda = 1550$ nm power is confined to the Si core. However, as w is decreased below $w = 400$ nm, the fraction of power propagating in the Si core diminishes rapidly from 0.59 to 0.34 at $w = 200$ nm. Clearly, the optimum width for observing nonlinear interactions in a SOI waveguide is $w = 300$ nm, as it offers the maximum γ ($= 0.59 \text{ cm}^{-1} \text{ W}^{-1}$). Notably, changing the device layer thickness or mode polarization (i.e., 220 nm thickness, quasi-TE mode) would change only the nonlinear parameter, γ , and would not fundamentally change the nonlinear interactions.

Femtosecond pulses with $\tau_p = 84$ fs duration, 90 MHz repetition rate, and $\lambda = 1550$ nm center wavelength were used to investigate nonlinear optical interactions in waveguides. Although a high numerical aperture microscope objective enables tight focusing and high coupling efficiency, it reduces polarization purity, and additional waveguide modes are excited, introducing measurement inaccuracies. Therefore, more gradual focusing is sometimes beneficial, at the expense of lower coupling efficiency. An NA = 0.65 objective was found to offer a good trade-off in this regard. The microscope objective was used to focus pulses on the waveguide input facets, and a lensed optical fiber was used to out-couple

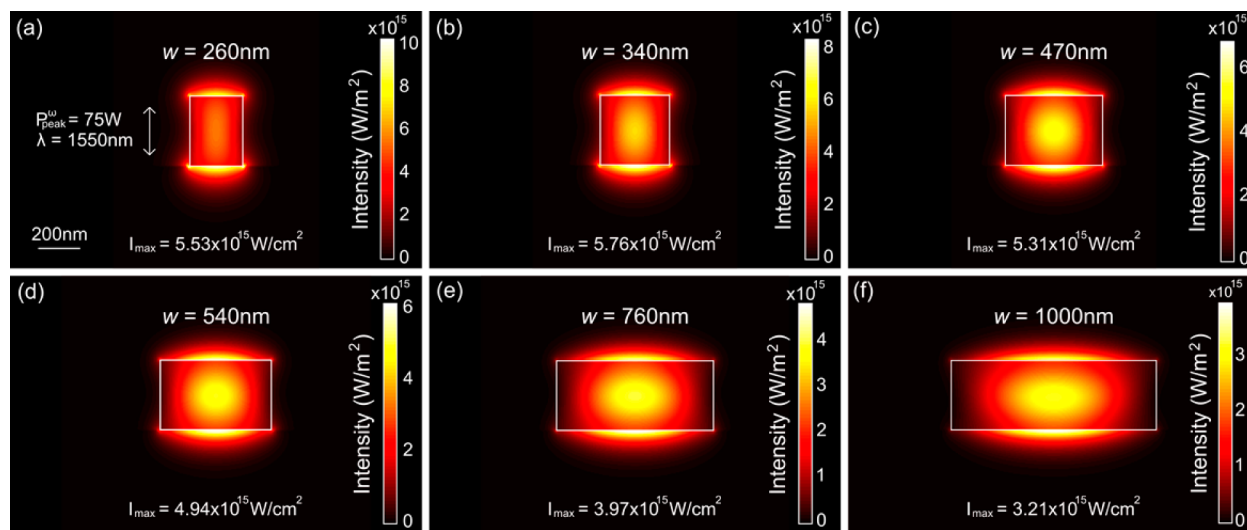


Figure 2. Mode profile intensity distributions for $P_{\text{peak}} = 75$ W, $h = 340$ nm, and $w =$ (a) 260 nm, (b) 340 nm, (c) 470 nm, (d) 540 nm, (e) 760 nm, and (f) 1000 nm.

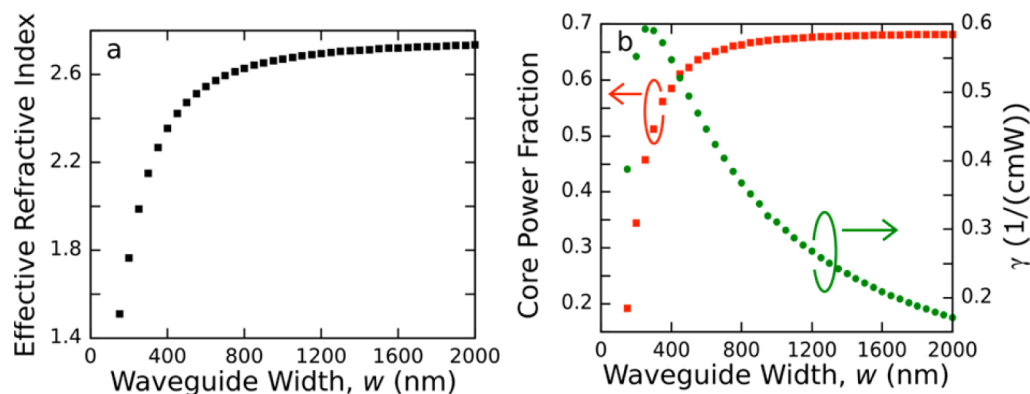


Figure 3. (a) Effective refractive index as a function of w and $h = 340$ nm (fixed). (b) Fraction of mode power confined to the Si core (red squares) and the nonlinear parameter, γ (green circles), as a function of w .

transmitted pulses. The laser pulse polarization was oriented to excite the quasi-TM waveguide mode.

A critical parameter for understanding nonlinear interactions in SOI waveguides is the free-carrier recombination time, τ_r . Ultrafast pump–probe measurements were performed on the waveguides, where the nonlinear loss introduced by a strong pump pulse was mapped onto the transmission of a weaker probe pulse.³⁰ From these measurements (outlined in the Supporting Information), $\tau_r = 265$ ps was extracted, which is shorter than the period between pulses ($T = 11.1$ ns). Therefore, free carriers excited by a particular pulse recombine before the subsequent pulse arrives and any free-carrier dispersion imparted on a pulse must arise from free carriers excited by that same pulse. This free-carrier lifetime is comparable to previous lifetimes that have been measured in SOI waveguides with similar dimensions.^{2,31} Since $h = 340$ nm was unchanged, no systematic relationship between τ_r and w was observed.

Typical transmitted spectra from a $w = 340$ nm waveguide are shown on a logarithmic y -axis in Figure 4. The spectrum

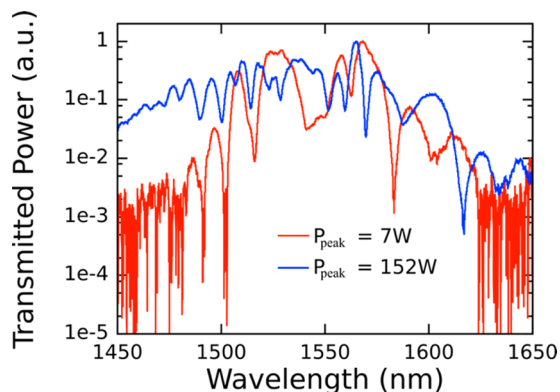


Figure 4. Transmitted pulse spectra for a waveguide with $w = 340$ nm and $L = 615$ μm for $P_{\text{peak}} = 7$ W (red) and $P_{\text{peak}} = 152$ W (blue).

obtained at $P_{\text{peak}} = 7$ W (red) is taken below the threshold for nonlinear interaction and represents linear pulse transmission through the waveguide. Virtually no power is observed for $\lambda < 1480$ nm or $\lambda > 1625$ nm. The spectrum taken at $P_{\text{peak}} = 152$ W (blue) is broadened via SPM, indicating strong nonlinear interactions within the Si core. The power of spectral components in the range $1450 \text{ nm} \leq \lambda \leq 1480$ nm increases 225-fold, whereas components in the range $1625 \text{ nm} \leq \lambda \leq$

1650 nm are enhanced 15-fold. Interestingly, distinct spectral components exhibit decreasing power with increasing P_{peak} . This suggests more complex interactions than SPM alone and is evidence of interplay between SPM, TPA, FCA, and plasma dispersion.

To investigate this interplay, it is insightful to examine the evolution of various spectral components for increasing P_{peak} . The surface plot in Figure 5a depicts the transmitted pulse spectrum as a function of P_{peak} for the $w = 340$ nm waveguide. Significant spectral reshaping and broadening is evident as P_{peak} is increased above $P_{\text{peak}} = 20$ W. The most noticeable transformations are the appearance of spectral components in the range $1535 \text{ nm} \leq \lambda \leq 1550$ nm and multiple sidebands at $\lambda \leq 1480$ nm and $\lambda \geq 1590$ nm. Figure 5b displays the entire integrated transmitted spectrum for each P_{peak} . Notably, for $P_{\text{peak}} \leq 20$ W, the trend is approximately linear, but nonlinear loss (i.e., TPA and FCA) gradually saturates the transmitted power, and for $P_{\text{peak}} \geq 110$ W, subsequent increases in P_{peak} yield negligible increases in transmitted power.

While the power dependence in Figure 5b is well known, it does not apply to individual spectral pulse components, as strong spectral reshaping takes place and growth or saturation of specific wavelengths may not exhibit the same behavior. It is more insightful to investigate power scaling for various wavelength regions of the pulse. This is particularly important for ultrafast all-optical wavelength-dependent modulation devices. To illustrate this property, several spectral slices depicting different power-scaling trends are shown in Figure 5c–f. Figure 5c represents the power transmitted in the range $1517 \text{ nm} \leq \lambda \leq 1519$ nm, a region where little laser power is delivered. For $P_{\text{peak}} < 50$ W, virtually no power is transmitted in this region, as no SPM has occurred to generate wavelengths here. For $P_{\text{peak}} > 50$ W, a substantial TPA-excited free-carrier population ($N \approx 10^{19}–10^{20} \text{ cm}^{-3}$) gives rise to plasma dispersion and spectral blue-shifting (see Figure 5a). In this case, an adjacent spectral peak blue-shifts into this spectral range, yielding superlinear growth.

Figure 5d depicts the power scaling in the range $1535.7 \text{ nm} \leq \lambda \leq 1542$ nm. Similar to the power scaling integrated over the entire pulse spectrum, the relation is linear for $P_{\text{peak}} \leq 20$ W. Further increases in power produce a slight increase in the growth trend due to SPM, which continues until $P_{\text{peak}} = 90$ W. Subsequent increases in P_{peak} produce saturation and even negative differential transmission due to TPA and FCA.

A far different power-scaling trend for $1552.6 \text{ nm} \leq \lambda \leq 1559.6$ nm is shown in Figure 5e, where again there is linear

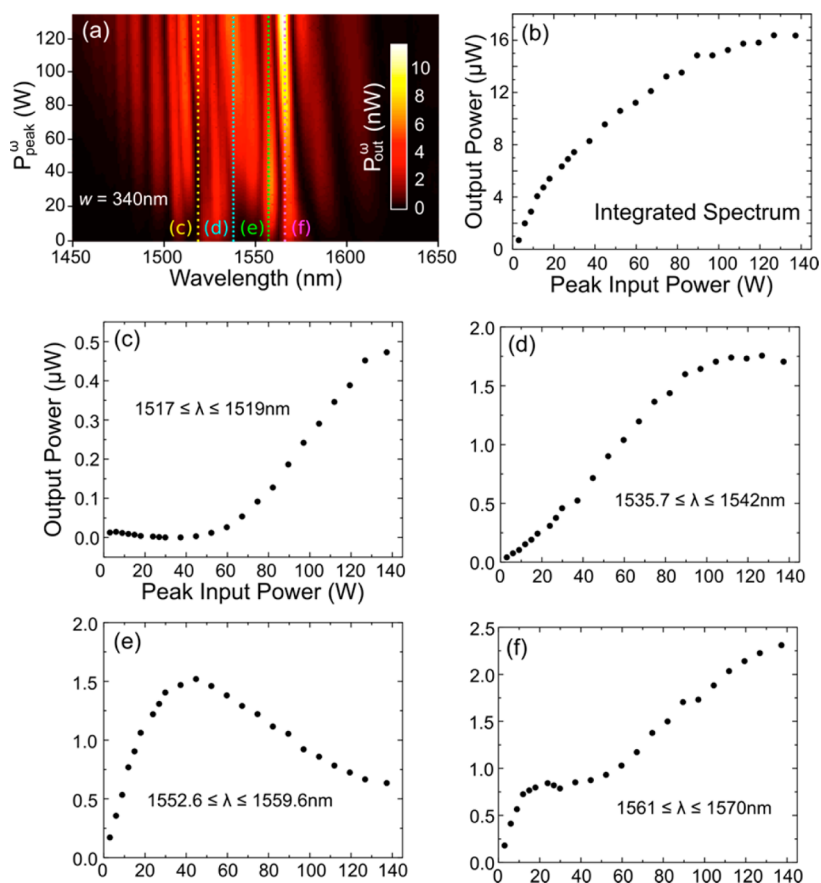


Figure 5. (a) Surface depicting the transmitted pulse spectrum versus P_{peak} for a $w = 340$ nm waveguide. (b) Total transmitted pulse power versus P_{peak} depicting TPA- and FCA-induced transmission saturation. Transmitted spectral power is also calculated for the ranges (c) $1517 \text{ nm} \leq \lambda \leq 1519$ nm; (d) $1535.7 \text{ nm} \leq \lambda \leq 1542$ nm; (e) $1552.6 \text{ nm} \leq \lambda \leq 1559.6$ nm; and (f) $1561 \text{ nm} \leq \lambda \leq 1570$ nm.

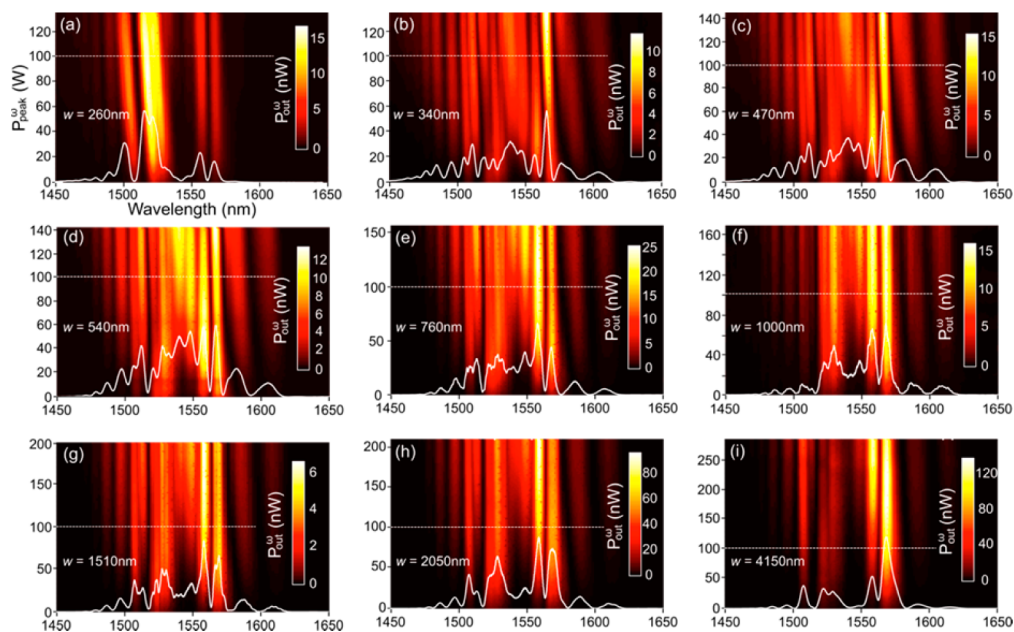


Figure 6. Surfaces depicting the transmitted pulse spectrum versus P_{peak} for waveguides with $w =$ (a) 260 nm, (b) 340 nm, (c) 470 nm, (d) 540 nm, (e) 760 nm, (f) 1000 nm, (g) 1510 nm, (h) 2050 nm, and (i) 4150 nm. Sections of the transmitted spectrum at $P_{\text{peak}} = 100$ W are plotted in white.

growth for $P_{\text{peak}} \leq 20$ W. However, this spectral region exhibits strong saturation at much lower $P_{\text{peak}} = 44.8$ W and large negative differential transmission due to SPM, TPA, and FCA collectively. Notably, the power transmitted in this spectral

range at $P_{\text{peak}} = 137$ W is less than half the maximum transmitted power ($P_{\text{peak}} = 44.8$ W).

Figure 5f shows the power scaling for $1561 \text{ nm} \leq \lambda \leq 1570$ nm. Here, evidence of transmission saturation occurs at much

lower powers ($12 \text{ W} \leq P_{\text{peak}} \leq 40 \text{ W}$), and further increases in P_{peak} increase the transmitted power without noticeable saturation. For $P_{\text{peak}} \geq 40 \text{ W}$, SPM, TPA, and FCA do not influence the transmitted power as significantly as the spectral ranges of Figure 5d,e. Indeed, the distinct wavelength-dependent power-scaling trends allow for multiple functionalities in a single waveguide.

These wavelength-dependent power-scaling trends are not unique to the $w = 340 \text{ nm}$ waveguide. Each waveguide width exhibited essentially the same trends for these spectral windows. However, due to different mode confinement, I_{max} inside the Si cores, and n_{eff} certain spectral features occur at different peak powers and have different amplitudes relative to adjacent features. In particular, the waveguides with $w = 340, 470,$ and 540 nm scaled with virtually identical trends, whereas the $w = 260 \text{ nm}$ waveguide deviated the most (discussed subsequently).

Surfaces depicting the transmitted spectrum as a function of P_{peak} for the waveguides are presented in Figure 6. The strongest SPM is observed for $w = 340, 470, 540,$ and 760 nm . In these waveguides, strong mode confinement yields a high nonlinear parameter, γ . Interestingly, no distinct SPM is observed for $w = 260 \text{ nm}$. Instead, the spectral peak is blue-shifted from $\lambda = 1522.3 \text{ nm}$ to $\lambda = 1514.9 \text{ nm}$, evidencing a large TPA-induced free-carrier population ($N \approx 10^{19} \text{ cm}^{-3}$); TPA and FCA rapidly reduce P_{peak} in the waveguide, before significant broadening accumulates. Furthermore, wavelength-dependent propagation loss is observed, which arises from high mode delocalization. Delocalization increases for longer pulse wavelengths, which interact more strongly with the waveguide sidewalls and experience greater attenuation than shorter pulse wavelengths.

The larger waveguides depicted in Figures 6f–i (i.e., $1000 \text{ nm} \leq w \leq 4150 \text{ nm}$) have a significantly lower γ ($\leq 0.31 \text{ cm}^{-1} \text{ W}^{-1}$) and operate with multiple modes. Therefore, as w is increased, SPM-induced pulse broadening becomes less pronounced, particularly for $1535 \text{ nm} \leq \lambda \leq 1550 \text{ nm}$, $\lambda \leq 1480 \text{ nm}$, and $\lambda \geq 1580 \text{ nm}$. For the largest waveguide ($w = 4150 \text{ nm}$), SPM-induced spectral features are very weak relative to the main pulse components.

In summary, we have investigated several nonlinear optical effects in SOI waveguides. The large $\chi^{(3)}$ coefficient of Si enables observation of strong SPM in a short waveguide with $L = 610 \mu\text{m}$. The strongest broadening is observed in waveguides with $340 \text{ nm} \leq w \leq 760 \text{ nm}$. For a smaller waveguide with $w = 260 \text{ nm}$, strong TPA and FCA saturate the SPM. For waveguides with $w > 760 \text{ nm}$, a combination of lower mode confinement and multimode operation give rise to less-pronounced broadening. Interesting power-scaling trends are observed in several spectral bands, which can be exploited for ultrafast wavelength-dependent switching and other functionalities. This will allow for unique wavelength-dependent nonlinear functionalities to be performed in a single structure, increasing the functionality of SOI waveguides for integrated optical circuitry. These results may be straightforwardly applied to the common SOI thickness of 220 nm and quasi-TE modes.

■ ASSOCIATED CONTENT

📄 Supporting Information

Information related to the measurement of the free-carrier recovery time and the waveguide fabrication process is available free of charge via the Internet at <http://pubs.acs.org>.

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The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

Notes

The authors declare no competing financial interest.

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